

GENERATION AUTHORITY NO. ___ / ___

NAME OF HOLDER _____

ANNUAL REPORT
TO THE REGULATOR
UNDER THE
ELECTRICITY ACT 1994

FOR THE PERIOD
1 JULY 2008 TO 30 JUNE 2009

Energy Regulation and Legislation
Queensland Mines and Energy
Department of Employment, Economic Development and Innovation
61 Mary Street, Brisbane Queensland 4000
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Telephone +61 7 3239 0046
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Website www.dme.qld.gov.au/Energy/licensing.cfm

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Under the *Electricity Act 1994* (the Act), the Regulator issues authorities (licences) for generation, transmission, distribution and retail activities undertaken in the Queensland electricity industry.

It is a condition of a Generation Authority that the holder must submit an annual report on its operations, as directed by the Regulator.

This information enables the Regulator to assess the generation entity's compliance with statutory requirements and its continued suitability to hold a Generation Authority. It also assists the Regulator to perform other functions under the Act.

The Department of Employment, Economic Development and Innovation undertakes to:

- (a) keep confidential all commercial information provided in this report; and
- (b) not disclose or allow to be disclosed this information to any person except officers of the Department to the extent that those persons need to know the information for regulatory purposes*.

* 'Regulatory purposes' means the performance of the Regulator's functions under the Act and maintenance of the security of electricity supply to Queensland customers.

Please return the completed report to:

**The Director
Energy Regulation and Legislation Unit
Queensland Mines and Energy
Department of Employment, Economic Development and Innovation
PO Box 15216
CITY EAST QLD 4002**

No later than:

31 October 2009

For enquiries contact:

**Lorelle Hatch
Queensland Mines and Energy
Department of Employment, Economic Development and Innovation
Telephone: 07 3239 0046
Email: lorelle.hatch@deedi.qld.gov.au**

This form is available in PDF or Word format from Queensland Mines and Energy website at www.dme.qld.gov.au/Energy/licensing.cfm

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Annual Report to the Regulator for the period 1 July 2008 to 30 June 2009 *		
Generation Authority No:	Note: This form should be used to report information and activities related only to this Generation Authority. A separate form must be submitted for each authority held. If there is insufficient space on the form to answer any question, please attach a statement giving full details.	
* If the report is not for the full financial year, please indicate the period to which it applies.	<u>From</u>	<u>To</u>

1. General Information relating to the HOLDER of the Generation Authority			
Name of Holder *			
Chief Executive Officer			
General Manager Operations			
Contact Person (for licensing matters)			
Contact Telephone Number		Fax Number	
Contact Email Address			
ACN / ABN			
Address of Registered Office (if applicable)	-----		
Postal Address	-----		
* If the name of the Holder of the Generation Authority has changed but the ACN number remains the same, please attach a copy of the Certificate of Registration of Change of Name or other documentary evidence of the change.			

2. Is the HOLDER* of the Generation Authority still the operator** of the generating plant described in the Generation Authority?	
* The Holder of the Generation Authority must be the operator of the generating plant – see Section 180(2)(a) of the Act.	
** ‘Operator’ means the entity ultimately responsible for operation of the generating plant, which is not necessarily the entity performing the day to day operational functions.	
<input type="checkbox"/> YES - Go to Question 3	<input type="checkbox"/> NO - Specify below the name and address of the new operator
Name	Address

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3. If the HOLDER of the Generation Authority is a private sector company*, has there been any major change in the shareholders of the company (i.e. ownership change greater than 10% by way of sale or transfer of shares to a single entity) during the report period?		
* 'private sector company' includes all international and Australian companies but excludes Government Owned Corporations (GOC)		
<input type="checkbox"/> YES - Give details below <input type="checkbox"/> NO - Go to Question 4 <input type="checkbox"/> NOT APPLICABLE (GOC) - Go to Question 4		
Full names of new shareholders (attach additional page if necessary)	Address	Date of sale/transfer

4. Has the HOLDER of the Generation Authority had any criminal convictions or civil judgements (where the civil judgement involves compensation or a fine in excess of \$10,000) awarded against it during the report period, EXCLUDING actions taken by the Electrical Safety Office or Workplace Health and Safety Queensland? (ESO and WHSQ actions are dealt with under Question 19)
<input type="checkbox"/> YES - Give full details below <input type="checkbox"/> NO - Go to Question 5

5. If the HOLDER of the Generation Authority is a private sector company*, has the HOLDER been placed into bankruptcy, receivership, administration or liquidation, or has action been taken by the Authority HOLDER or creditors of the Authority HOLDER, to wind up the company?
* 'private sector company' includes all international and Australian companies but excludes Government Owned Corporations (GOC)
<input type="checkbox"/> YES - Give full details <input type="checkbox"/> NO - Go to Question 6 <input type="checkbox"/> NOT APPLICABLE (GOC) - Go to Question 6

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6. Is the HOLDER of the Generation Authority the present OWNER of the generating plant to which the Generation Authority relates?	
<input type="checkbox"/> YES - Go to Question 10 <input type="checkbox"/> NO - Give name and address of owner and answer Questions 7, 8 & 9	
Name	Address

7. If the OWNER of the generating plant to which the Generation Authority relates is a private sector company*, has there been any major change in the shareholders of the company (i.e. ownership change greater than 10% by way of a sale of shares or a transfer of shares to a single entity) during the report period?		
* <i>'private sector company' includes all international and Australian companies but excludes Government Owned Corporations (GOC)</i>		
<input type="checkbox"/> YES - Give full details below <input type="checkbox"/> NO - Go to Question 8 <input type="checkbox"/> NOT APPLICABLE (GOC) - Go to Question 8		
Full names of new shareholders (attach additional page if necessary)	Address	Date of sale/transfer

8. Has the present OWNER of the generating plant to which the Generation Authority relates had any criminal convictions or civil judgements (where the civil judgement involves compensation or a fine in excess of \$10,000) awarded against it during the report period?	
<input type="checkbox"/> YES - Give full details below <input type="checkbox"/> NO - Go to Question 9	

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9. If the OWNER of the generating plant is a private sector company*, has the present OWNER of the generating plant been placed into bankruptcy, receivership, administration or liquidation, or has action been taken by the OWNER or creditors of the OWNER, to wind up the company?

* 'private sector company' includes all international and Australian companies but excludes Government Owned Corporations (GOC)

- YES - Give full details NO - Go to Question 10
 NOT APPLICABLE (GOC) - Go to Question 10

10. Does the current generating plant differ from the generating plant described in the Schedule to the Generation Authority (attached)?

- YES - Give full details below NO - Go to Question 11

11. Do the current activities undertaken by the HOLDER of the Generation Authority differ from those authorised in the Generation Authority attached (e.g. connection of the generating plant or sale of electricity other than as specified)?

- YES - Give full details below NO - Go to Question 12

12. Is there a current connection agreement between the HOLDER of the Generation Authority or OWNER of the generating plant, and the owner of the transmission grid or supply network to which the generating plant is authorised to connect?			
<input type="checkbox"/> YES - Give details of the parties to the agreement and details of any enforcement action for breach of the agreement <input type="checkbox"/> NO - Go to Question 13			
.....			
If applicable, please provide details of any court enforcement actions that have been undertaken for breach of the connection agreement during the report period.			
Date of breach	Parties Involved	Reason for Breach	Outcome

13. Was the HOLDER of the Generation Authority, or the OWNER of the generating plant, or any other entity, registered with the National Electricity Market Management Company Limited (NEMMCO) under the National Electricity Rules during the reporting period, in respect of this generating plant?	
<i>Details of registration requirements can be found on the Australian Energy Market Operator (AEMO) website at www.aemo.com.au</i>	
<input type="checkbox"/> YES - Give full details below <input type="checkbox"/> NO - Go to Question 14	
NAME:
ADDRESS:
REGISTRATION CATEGORIES:

14. Had NEMMCO granted any registration exemptions under Chapter 2 of the National Electricity Rules, in relation to the generating plant during the reporting period?	
<i>Details of registration exemptions can be found on the AEMO website at www.aemo.com.au</i>	
<input type="checkbox"/> YES - Give full details below <input type="checkbox"/> NO - Go to Question 15	
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<p>15. If an entity was registered with NEMMCO in respect of the generating plant, was any enforcement action* taken during the report period against the Registered Participant by the Australian Energy Regulator or a court, for any breaches of the National Electricity Law, the National Electricity Regulation or the National Electricity Rules?</p>	
<p>* 'Enforcement action' includes investigations, imposition of sanctions, directions, civil penalties and fines based on enforcement powers under the National Electricity Law or the National Electricity Rules.</p>	
<p><input type="checkbox"/> YES - Give full details of the breaches and outcome <input type="checkbox"/> NO - Go to Question 17</p>	
<p>.....</p> <p>.....</p> <p>.....</p>	

<p>16. This question no longer relevant.</p>

<p>17. During the report period, was any enforcement action* taken against the HOLDER of the Generation Authority or OWNER of the generating plant, by an environmental regulator** under any State or Commonwealth environmental legislation?</p>	
<p>* 'Enforcement action' includes the issue of an infringement notice, an environmental protection order, a program notice, a notice requiring the preparation and submission of a draft environmental management program, or the institution of any court proceedings. Environmental Impact Assessment processes are excluded from this definition.</p>	
<p>** 'Environmental regulator' means any local government agency, State government agency or Commonwealth government agency with regulatory responsibilities in regard to environmental matters.</p>	
<p><input type="checkbox"/> YES - Give full details of the action and outcome <input type="checkbox"/> NO - Go to Question 18</p>	
<p>.....</p> <p>.....</p> <p>.....</p>	

<p>18. Information about lost time injuries* that occurred in relation to the operations of the HOLDER of the Generation Authority during the report period.</p>					
<p>* 'Lost Time Injury Frequency Rate' (LTIFR) means the number of lost time injuries per million employee (or contractor) hours. Lost time injuries are those which have caused lost time on work for at least a full shift. The Lost Time Injury Frequency Rate is derived from the following formula:</p>					
$\frac{\text{Total number of lost time injuries per annum}}{\text{Total annual hours worked (million)}}$					
LTIFR for employees	Actual 2008-2009		LTIFR for Contractors	Actual 2008-2009	

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19. Information about events reported by the HOLDER of the Generation Authority to the Electrical Safety Office and/or Workplace Health and Safety Queensland in relation to activities authorised by the Generation Authority.	
Total number of Serious Electrical Incidents (as defined in section 11 of the <i>Electrical Safety Act 2002</i>) reported to the Electrical Safety Office during the reporting period.	
Total number of Dangerous Electrical Events (as defined in section 12 of the <i>Electrical Safety Act 2002</i>) reported to the Electrical Safety Office during the reporting period.	
Total number of incidents reported to Workplace Health and Safety Queensland during the reporting period in relation to activities authorised by this Generation Authority.	
Full details of any action taken by the Electrical Safety Office or Workplace Health and Safety Queensland against the HOLDER of the Generation Authority, e.g. infringement notices, court proceedings, fines.	
Action By	Details of Action and Outcome (attach additional page if necessary)

20. Information about the operations of the generating plant for the report period.		
<i>If reporting in relation to two or more generating plants, please report separate data for each plant (attach additional pages as necessary)</i>		
<p>Note Definitions: '<i>Forced Outage Factor</i>' means an unplanned outage requiring the removal of a unit or component from service for work which cannot be deferred beyond the next weekend, and is derived from the following formula:</p> $\frac{\text{MWh out of service due to forced outage}}{\text{Installed plant capacity (MW)} \times \text{hours in the report period}} \times 100$ <p><i>'Planned Outage Factor</i>' means an outage due to planned work on either a unit or component Which is usually planned well in advance, and is derived from the following formula:</p> $\frac{\text{MWh out of service due to planned outage}}{\text{Installed plant capacity (MW)} \times \text{hours in the report period}} \times 100$		
Name/Description of Generating Plant (as per Generation Authority attached)	Forced Outage Factor (%)	Planned Outage Factor (%)

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Authority Holder to sign this report as a Statutory Declaration:

Oaths Act 1867

Statutory Declaration

QUEENSLAND
TO WIT

I,
(name)

of
(address)

do solemnly and sincerely declare that the information as provided by me in this report is to the best of my knowledge correct and true and I make this solemn declaration conscientiously believing the same to be true, and by virtue of the provisions of the Oaths Act 1867.¹

.....
(Signature of Declarant)

.....
(Position)

.....
(Name of Authority Holder)

Taken and declared before me at
this day of 2009.

.....
(Signature of person before whom the declaration is made)

.....
(Full name)

.....
(Qualification)

A Justice of the Peace/Commissioner for Declarations/
Notary Public/Lawyer/or another person authorised to
administer an oath under the law of Queensland (or the
Commonwealth or another State or Territory if applicable).

¹ If the statutory declaration is made in a State or Territory other than Queensland, then the form of the statutory declaration must be amended to comply with the relevant legislation of that State or Territory.